

**FULBRIGHT & JAWORSKI L.L.P.**

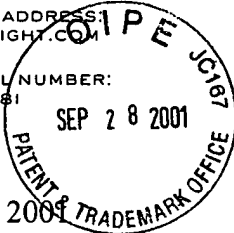
A REGISTERED LIMITED LIABILITY PARTNERSHIP

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AUSTIN, TEXAS 78701

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WRITER'S DIRECT DIAL NUMBER:  
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September 19, 2001

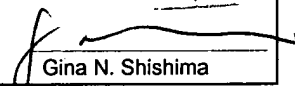
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FILE: AMBI:073US

**CERTIFICATE OF MAILING**  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

September 19, 2001  
Date

  
Gina N. Shishima

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

RE: *SN 09/854,412 "HIGH EFFICIENCY mRNA ISOLATION METHODS AND COMPOSITIONS" - Richard C. Conrad*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed July 26, 2001;
- (2) Declaration of Richard C. Conrad;
- (3) Power of Attorney;
- (4) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (5) Information Disclosure Statement;
- (6) Form PTO-1449;
- (7) References A1-A5 and C1-C13;
- (8) Check in the amount of \$820.00; and

Commissioner for Patents

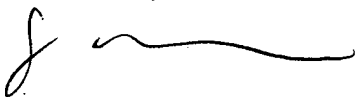
September 19, 2001

Page 2

- (9) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10102269/GNS.

Respectfully submitted,



Gina N. Shishima

Reg. No. 45,104

GNS/mar

Encl: as noted

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Richard C. Conrad

Serial No.: 09/854,412

Filed: May 11, 2001

For: HIGH EFFICIENCY mRNA ISOLATION  
METHODS AND COMPOSITIONS



Group Art Unit: 1635

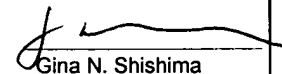
Examiner: Unknown

Atty. Dkt. No.: AMBI:073US/GNS

**CERTIFICATE OF MAILING  
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September 19, 2001  
Date

  
Gina N. Shishima

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated July 26, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Richard C. Conrad;
- (b) A Power of Attorney on behalf of AMBION, INC.;
- (c) Our check in the amount of \$820.00 to cover the basic filing fee (\$355 - utility small entity); surcharge for late filing (\$65.00); and additional claims fee (\$400.00); and

- (d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under  
37 C.F.R. 1.53(b)-Filing Date Granted.

An Assignment to AMBION, INC. and a check for \$40.00 are being filed under separate  
cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.  
§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an  
overpayment be included herein, the Commissioner is authorized to deduct or credit said fees  
from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10102269/GNS.

Please date stamp and return the accompanying postcard to evidence receipt of these  
documents.

Respectfully submitted,



Gina N. Shishima  
Reg. No. 45,104  
Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: September 19, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/854,412	05/11/2001	Richard C. Conrad	AMBI:073US

CONFIRMATION NO. 7685

FORMALITIES LETTER



\*OC00000006346304\*

Gina N. Shishima  
FULBRIGHT & JAWORSKI L.L.P.  
A REGISTERED LIMITED LIABILITY PARTNERSHIP  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TX 78701



Date Mailed: 07/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/01/2001 HNDOR1 00000130 09854412

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:202	40.00 OP
04 FC:203	360.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$400.
  - \$360 for 40 total claims over 20.
  - \$40 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

A copy of this notice **MUST** be returned with the reply

RECEIVED  
9/26/01 missing parts due: 2/26/02  
final deadline  
AUG 02 2001  
AMBI:073US  
MBW/GNS  
pm

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY